

## Quick recap of last time.

**Erasur Codes:** Reconstructing a message if some parts of it (packets) are lost.

**Idea:** Encode  $n$ -packet message as a polynomial with  $n$  coefficients  
Send values at  $n+k$  points if  $\leq k$  will be lost  
Reconstruct from what you receive.

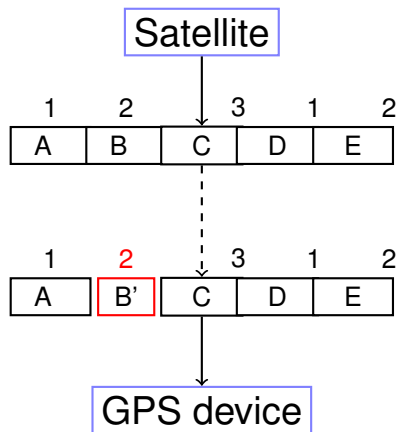
# Today's topic.

## **Error Correction:**

Noisy Channel: **corrupts**  $k$  packets. (rather than **loss/erasures**.)

Additional Challenge: Finding **which** packets are corrupt.

# Error Correction



3 packet message. Send 5.

Corrupts 1 packets.

# The Scheme.

**Problem:** Communicate  $n$  packets  $m_1, \dots, m_n$  on noisy channel that corrupts  $\leq k$  packets.

## Reed-Solomon Code:

1. Make a polynomial,  $P(x)$  of degree  $n - 1$ , that encodes message.
  - ▶  $P(1) = m_1, \dots, P(n) = m_n$ .
  - ▶ Recall: could encode with packets as coefficients.
2. Send  $P(1), \dots, P(n + 2k)$ .

**After noisy channel:** Receive values  $R(1), \dots, R(n + 2k)$ .

## Properties:

- (1)  $P(i) = R(i)$  for at least  $n + k$  points  $i$ ,
- (2)  $P(x)$  is unique degree  $n - 1$  polynomial that contains  $\geq n + k$  received points.

## Properties: proof.

$P(x)$ : degree  $n-1$  polynomial.

Send  $P(1), \dots, P(n+2k)$

Receive  $R(1), \dots, R(n+2k)$

At most  $k$   $i$ 's where  $P(i) \neq R(i)$ .

### Properties:

- (1)  $P(i) = R(i)$  for at least  $n+k$  points  $i$ ,
- (2)  $P(x)$  is unique degree  $n-1$  polynomial that contains  $\geq n+k$  received points.

### Proof:

(1) Easy. Only  $k$  corruptions (by assumption).

(2) Degree  $n-1$  polynomial  $Q(x)$  consistent with  $n+k$  points.

$Q(x)$  agrees with  $R(i)$ ,  $n+k$  times.

$P(x)$  agrees with  $R(i)$ ,  $n+k$  times.

Total points contained by both:  $2n+2k$ .  $P$  Pigeons.

Total points to choose from :  $n+2k$ .  $H$  Holes.

Points contained by both :  $\geq n$ .  $\geq P-H$  Collisions.

$\implies Q(i) = P(i)$  at  $n$  points.

$\implies Q(x) = P(x)$ .



## Example.

Message: 3, 0, 6.

Reed Solomon Code:  $P(x) = x^2 + x + 1 \pmod{7}$  has  
 $P(1) = 3, P(2) = 0, P(3) = 6$  modulo 7.

Send:  $P(1) = 3, P(2) = 0, P(3) = 6, P(4) = 0, P(5) = 3$ .

Receive  $R(1) = 3, R(2) = 1, R(3) = 6, R(4) = 0, R(5) = 3$ .

$P(i) = R(i)$  for  $n + k = 3 + 1 = 4$  points.

# Slow solution.

## Brute Force:

For each subset of  $n + k$  points

Fit degree  $n - 1$  polynomial,  $Q(x)$ , to  $n$  of them.

Check if consistent with  $n + k$  of the total points.

If yes, output  $Q(x)$ .

- ▶ For subset of  $n + k$  pts where  $R(i) = P(i)$ , method will reconstruct  $P(x)$ !
- ▶ For any subset of  $n + k$  pts,
  1. there is unique degree  $n - 1$  polynomial  $Q(x)$  that fits  $n$  of them
  2. and where  $Q(x)$  is consistent with  $n + k$  points  
 $\implies P(x) = Q(x)$ .

Reconstructs  $P(x)$  and only  $P(x)$ !!

## Example.

Received  $R(1) = 3, R(2) = 1, R(3) = 6, R(4) = 0, R(5) = 3$

Find  $P(x) = p_2x^2 + p_1x + p_0$  that contains  $n + k = 3 + 1$  points.

All equations..

$$p_2 + p_1 + p_0 \equiv 3 \pmod{7}$$

$$4p_2 + 2p_1 + p_0 \equiv 1 \pmod{7}$$

$$2p_2 + 3p_1 + p_0 \equiv 6 \pmod{7}$$

$$2p_2 + 4p_1 + p_0 \equiv 0 \pmod{7}$$

$$1p_2 + 5p_1 + p_0 \equiv 3 \pmod{7}$$

Assume point 1 is wrong and solve..no consistent solution!

Assume point 2 is wrong and solve...consistent solution!



In general..

$P(x) = p_{n-1}x^{n-1} + \dots p_0$  and receive  $R(1), \dots R(m = n + 2k)$ .

$$\begin{aligned} p_{n-1} + \dots p_0 &\equiv R(1) \pmod{p} \\ p_{n-1}2^{n-1} + \dots p_0 &\equiv R(2) \pmod{p} \end{aligned}$$

.

$$p_{n-1}i^{n-1} + \dots p_0 \equiv R(i) \pmod{p}$$

.

$$p_{n-1}(m)^{n-1} + \dots p_0 \equiv R(m) \pmod{p}$$

Error!! .... Where???

Could be anywhere!!! ...so try everywhere.

**Runtime:**  $\binom{n+2k}{k}$  possibilities.

Something like  $(n/k)^k$  ...Exponential in  $k!$ .

How do we find where the bad packets are efficiently?!?!?!?

## Where can the **bad** packets be?

$$\begin{aligned} E(1)(p_{n-1} + \cdots p_0) &\equiv R(1)E(1) \pmod{p} \\ \mathbf{0} \times E(2)(p_{n-1}2^{n-1} + \cdots p_0) &\equiv \mathbf{R(2)E(2)} \pmod{p} \\ &\vdots \\ E(m)(p_{n-1}(m)^{n-1} + \cdots p_0) &\equiv R(n+2k)E(m) \pmod{p} \end{aligned}$$

**Idea:** Multiply equation  $i$  by 0 if and only if  $P(i) \neq R(i)$ .

All equations satisfied!!!!

But which equations should we multiply by 0?

We will use a polynomial!!! **One that we don't know...** But can find!

Errors at points  $e_1, \dots, e_k$ . (In diagram above,  $e_1 = 2$ .)

**Error locator polynomial:**  $E(x) = (x - e_1)(x - e_2) \dots (x - e_k)$ .

$E(i) = 0$  if and only if  $e_j = i$  for some  $j$

Multiply equations by  $E(\cdot)$ . (Above  $E(x) = (x-2)$ .)

All equations satisfied!!

## Example.

Received  $R(1) = 3, R(2) = 1, R(3) = 6, R(4) = 0, R(5) = 3$

Find  $P(x) = p_2x^2 + p_1x + p_0$  that contains  $n + k = 3 + 1$  points.

Plugin points...

$$\begin{aligned}(1 - e)(p_2 + p_1 + p_0) &\equiv (3)(1 - e) \pmod{7} \\(2 - e)(4p_2 + 2p_1 + p_0) &\equiv (1)(2 - e) \pmod{7} \\(3 - e)(2p_2 + 3p_1 + p_0) &\equiv (6)(3 - e) \pmod{7} \\(4 - e)(2p_2 + 4p_1 + p_0) &\equiv (0)(4 - e) \pmod{7} \\(5 - e)(4p_2 + 5p_1 + p_0) &\equiv (3)(5 - e) \pmod{7}\end{aligned}$$

Error locator polynomial:  $(x - 2)$ .

Multiply equation  $i$  by  $(i - 2)$ . All equations satisfied!

**But don't know error locator polynomial!** Do know form:  $(x - e)$ .

4 unknowns ( $p_0, p_1, p_2$  and  $e$ ), 5 **nonlinear** equations.

## The General Case.

$$\begin{aligned} E(1)(p_{n-1} + \cdots p_0) &\equiv R(1)E(1) \pmod{p} \\ &\vdots \\ E(i)(p_{n-1}i^{n-1} + \cdots p_0) &\equiv R(i)E(i) \pmod{p} \\ &\vdots \\ E(m)(p_{n-1}m^{n-1} + \cdots p_0) &\equiv R(m)E(m) \pmod{p} \end{aligned}$$

$$P(x) = p_{n-1}x^{n-1} + p_{n-2}x^{n-2} + \cdots + p_0$$

$m = n + 2k$  satisfied equations,  $n + k$  unknowns. **But nonlinear!**

$$\text{Let } Q(x) = E(x)P(x) = a_{n+k-1}x^{n+k-1} + \cdots + a_0.$$

Rewrite the  $i$ th equation, for all  $i$ , as:

$$Q(i) = R(i)E(i).$$

Note: this is linear in  $a_j$  and coefficients of  $E(x)$ !

## Finding $Q(x)$ and $E(x)$ ?

- ▶  $E(x)$  has degree  $k$  ...

$$E(x) = x^k + b_{k-1}x^{k-1} \dots b_0.$$

- ▶  $Q(x) = P(x)E(x)$  has degree  $n+k-1$  ...

$$Q(x) = a_{n+k-1}x^{n+k-1} + a_{n+k-2}x^{n+k-2} + \dots a_0$$

## Solving for $Q(x)$ and $E(x)$ ...and $P(x)$

For all points  $1, \dots, i, n+2k,$

$$Q(i) = R(i)E(i) \pmod{p}$$

Gives  $n+2k$  linear equations.

$$a_{n+k-1} + \dots a_0 \equiv R(1)(1 + b_{k-1} \dots b_0) \pmod{p}$$

$$a_{n+k-1}(2)^{n+k-1} + \dots a_0 \equiv R(2)((2)^k + b_{k-1}(2)^{k-1} \dots b_0) \pmod{p}$$

$\vdots$

$$a_{n+k-1}(m)^{n+k-1} + \dots a_0 \equiv R(m)((m)^k + b_{k-1}(m)^{k-1} \dots b_0) \pmod{p}$$

..and  $n+2k$  unknown coefficients of  $Q(x)$  and  $E(x)$ !

Solve for coefficients of  $Q(x)$  and  $E(x)$ .

Once we have those, compute  $P(x)$  as  $Q(x)/E(x)$ .

## Example.

Received  $R(1) = 3, R(2) = 1, R(3) = 6, R(4) = 0, R(5) = 3$

$$Q(x) = E(x)P(x) = a_3x^3 + a_2x^2 + a_1x + a_0$$

$$E(x) = x - b_0$$

$$Q(i) = R(i)E(i).$$

$$a_3 + a_2 + a_1 + a_0 \equiv 3(1 - b_0) \pmod{7}$$

$$a_3 + 4a_2 + 2a_1 + a_0 \equiv 1(2 - b_0) \pmod{7}$$

$$6a_3 + 2a_2 + 3a_1 + a_0 \equiv 6(3 - b_0) \pmod{7}$$

$$a_3 + 2a_2 + 4a_1 + a_0 \equiv 0(4 - b_0) \pmod{7}$$

$$6a_3 + 4a_2 + 5a_1 + a_0 \equiv 3(5 - b_0) \pmod{7}$$

$a_3 = 1, a_2 = 6, a_1 = 6, a_0 = 5$  and  $b_0 = 2$ .

$$Q(x) = x^3 + 6x^2 + 6x + 5.$$

$$E(x) = x - 2.$$





# Error Correction: Berlekamp-Welch

Message:  $m_1, \dots, m_n$ .

## Sender:

1. Form degree  $n - 1$  polynomial  $P(x)$  where  $P(i) = m_i$ .
2. Send  $P(1), \dots, P(n + 2k)$ .

## Receiver:

1. Receive  $R(1), \dots, R(n + 2k)$ .
2. Solve  $n + 2k$  equations,  $Q(i) = E(i)R(i)$  to find  $Q(x) = E(x)P(x)$  and  $E(x)$ .
3. Compute  $P(x) = Q(x)/E(x)$ .
4. Compute  $P(1), \dots, P(n)$ , recover the message.

## A key question.

Is there one and only one  $P(x)$  from Berlekamp-Welch procedure?

**Existence:** there is a  $P(x)$  and  $E(x)$  that satisfy equations.

## Unique solution for $P(x)$ ?

**Uniqueness:** any solution  $Q'(x)$  and  $E'(x)$  have

$$\frac{Q'(x)}{E'(x)} = \frac{Q(x)}{E(x)} = P(x). \quad (1)$$

**Proof:**

We claim

$$Q'(x)E(x) = Q(x)E'(x) \text{ on } n+2k \text{ values of } x. \quad (2)$$

Equation 2 implies 1:

$Q'(x)E(x)$  and  $Q(x)E'(x)$  are degree  $n+2k-1$

and agree on  $n+2k$  points

$$\implies Q'(x)E(x) = Q(x)E'(x).$$

Cross divide.



## Revisiting last bit.

**Claim:**  $Q'(x)E(x) = Q(x)E'(x)$  on  $n+2k$  values of  $x$ .

**Proof:** Construction implies that

$$Q(i) = R(i)E(i)$$

$$Q'(i) = R(i)E'(i)$$

for  $i \in \{1, \dots, n+2k\}$ .

If  $E(i) = 0$ , then  $Q(i) = 0$ . If  $E'(i) = 0$ , then  $Q'(i) = 0$ .

$\implies Q(i)E'(i) = Q'(i)E(i)$  holds when  $E(i)$  or  $E'(i)$  are zero.

When  $E'(i)$  and  $E(i)$  are not zero

$$\frac{Q'(i)}{E'(i)} = \frac{Q(i)}{E(i)} = R(i).$$

Cross multiplying gives equality in fact for these points. □

Points to polynomials, have to deal with zeros!

Berlekamp-Welch algorithm decodes correctly when at most  $k$  errors!

## Summary. Error Correction.

Communicate  $n$  packets, with  $k$  erasures.

How many packets?  $n + k$

How to encode? With polynomial,  $P(x)$ .

Of degree?  $n - 1$

Recover? Reconstruct  $P(x)$  with any  $n$  points!

Communicate  $n$  packets, with  $k$  errors.

How many packets?  $n + 2k$

How to encode? With polynomial,  $P(x)$ . Of degree?  $n - 1$ .

Recover?

Reconstruct error polynomial,  $E(x)$ , and  $P(x)$ !

**Nonlinear equations.**

Reconstruct  $E(x)$  and  $Q(x) = E(x)P(x)$ . Linear Equations.

Polynomial division!  $P(x) = Q(x)/E(x)$ !

Reed-Solomon codes. Berlekamp-Welch Decoding. Perfection!