

# Counting and Probability

What's to come? Probability.

A bag contains:



What is the chance that a ball taken from the bag is blue?

Count blue. Count total. Divide.

Today: Counting!

Later this week: Probability. Professor Walrand.

# Outline

1. Counting.
2. Tree
3. Rules of Counting
4. Sample with/without replacement where order does/doesn't matter.

# Count?

How many outcomes possible for  $k$  coin tosses?

How many handshakes for  $n$  people?

How many 10 digit numbers?

How many 10 digit numbers without repeating digits?

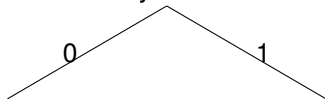
## Using a tree of possibilities...

How many 3-bit strings?

How many different sequences of three bits from  $\{0, 1\}$ ?

How would you make one sequence?

How many different ways to do that making?

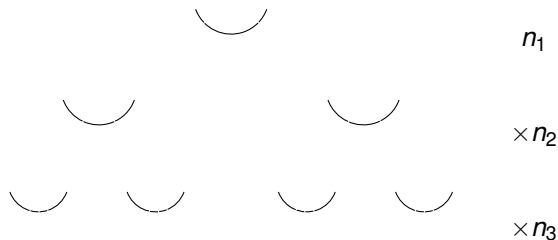


8 leaves which is  $2 \times 2 \times 2$ . One leaf for each string.

8 3-bit strings!

# First Rule of Counting: Product Rule

Objects made by choosing from  $n_1$ , then  $n_2$ , ..., then  $n_k$   
the number of objects is  $n_1 \times n_2 \cdots \times n_k$ .



In picture,  $2 \times 2 \times 3 = 12$

## Using the first rule..

How many outcomes possible for  $k$  coin tosses?

2 ways for first choice, 2 ways for second choice, ...

$$2 \times 2 \cdots \times 2 = 2^k$$

How many 10 digit numbers?

10 ways for first choice, 10 ways for second choice, ...

$$10 \times 10 \cdots \times 10 = 10^k$$

How many  $n$  digit base  $m$  numbers?

$m$  ways for first,  $m$  ways for second, ...

$$m^n$$

# Functions, polynomials.

How many functions  $f$  mapping  $S$  to  $T$ ?

$|T|$  ways to choose for  $f(s_1)$ ,  $|T|$  ways to choose for  $f(s_2)$ , ...

... $|T|^{|S|}$

How many polynomials of degree  $d$  modulo  $p$ ?

$p$  ways to choose for first coefficient,  $p$  ways for second, ...

... $p^{d+1}$

$p$  values for first point,  $p$  values for second, ...

... $p^{d+1}$

# Permutations.

How many 10 digit numbers **without repeating a digit**?

10 ways for first, 9 ways for second, 8 ways for third, ...

$$\dots 10 * 9 * 8 \dots * 1 = 10!.^1$$

How many different samples of size  $k$  from  $n$  numbers **without replacement**.

$n$  ways for first choice,  $n - 1$  ways for second,  
 $n - 2$  choices for third, ...

$$\dots n * (n - 1) * (n - 2) \dots * (n - k + 1) = \frac{n!}{(n - k)!}.$$

How many orderings of  $n$  objects are there?

**Permutations of  $n$  objects.**

$n$  ways for first,  $n - 1$  ways for second,  
 $n - 2$  ways for third, ...

$$\dots n * (n - 1) * (n - 2) \dots * 1 = n!.$$

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<sup>1</sup>By definition:  $0! = 1$ .  $n! = n(n - 1)(n - 2) \dots 1$ .



# One-to-One Functions.

How many one-to-one functions from  $S$  to  $S$ .

$|S|$  choices for  $f(s_1)$ ,  $|S| - 1$  choices for  $f(s_2)$ , ...

So total number is  $|S| \times |S| - 1 \cdots 1 = |S|!$

A one-to-one function is a permutation!

## Counting sets..when order doesn't matter.

How many poker hands?

$$52 \times 51 \times 50 \times 49 \times 48 \text{ ???}$$

Are  $A, K, Q, 10, J$  of spades  
and  $10, J, Q, K, A$  of spades the same?

**Second Rule of Counting:** If order doesn't matter count ordered objects and then divide by number of orderings.<sup>2</sup>

Number of orderings for a poker hand:  $5!$ .

$$\frac{52 \times 51 \times 50 \times 49 \times 48}{5!}$$

Can write as...

$$\frac{52!}{5! \times 47!}$$

Generic: ways to choose 5 out of 52 possibilities.

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<sup>2</sup>When each unordered object corresponds equal numbers of ordered objects.

## When order doesn't matter.

Choose 2 out of  $n$ ?

$$\frac{n \times (n-1)}{2} = \frac{n!}{(n-2)! \times 2}$$

Choose 3 out of  $n$ ?

$$\frac{n \times (n-1) \times (n-2)}{3!} = \frac{n!}{(n-3)! \times 3!}$$

Choose  $k$  **out of**  $n$ ?

$$\frac{n!}{(n-k)! \times k!}$$

**Notation:**  $\binom{n}{k}$  and pronounced “ $n$  choose  $k$ .”

## Simple Practice.

How many orderings of letters of CAT?

3 ways to choose first letter, 2 ways to choose second, 1 for last.

$\implies 3 \times 2 \times 1 = 3!$  orderings

How many orderings of the letters in ANAGRAM?

Ordered, except for A!

total orderings of 7 letters.  $7!$

total “extra counts” or orderings of two A’s?  $3!$

Total orderings?  $\frac{7!}{3!}$

How many orderings of MISSISSIPPI?

4 S’s, 4 I’s, 2 P’s.

11 letters total!

$11!$  ordered objects!

$4! \times 4! \times 2!$  ordered objects per “unordered object”

$\implies \frac{11!}{4!4!2!}$

# Sampling...

Sample  $k$  items out of  $n$

Without replacement:

Order matters:  $n \times n - 1 \times n - 2 \dots \times n - k + 1 = \frac{n!}{(n-k)!}$

Order does not matter:

Second Rule: divide by number of orders – “ $k!$ ”

$$\implies \frac{n!}{(n-k)!k!}.$$

“ $n$  choose  $k$ ”

With Replacement.

Order matters:  $n \times n \times \dots n = n^k$

Order does not matter: Second rule ???

Problem: depends on how many of each item we chose!

Set: 1, 2, 3      3! orderings map to it.

Set: 1, 2, 2       $\frac{3!}{2!}$  orderings map to it.

How do we deal with this situation?!?!?

## Stars and bars....

How many ways can Bob and Alice split 5 dollars?

For each of 5 dollars pick Bob or Alice( $2^5$ ), divide out order ???

5 dollars for Bob and 0 for Alice:

one ordered set:  $(B, B, B, B, B)$ .

4 for Bob and 1 for Alice:

5 ordered sets:  $(A, B, B, B, B)$  ;  $(B, A, B, B, B)$ ; ...

Well, we can list the possibilities.

$0 + 5, 1 + 4, 2 + 3, 3 + 2, 4 + 1, 5 + 0$ .

For 2 numbers adding to  $k$ , we get  $k + 1$ .

For 3 numbers adding to  $k$ ?

# Stars and Bars.

How many ways to add up  $n$  numbers to equal  $k$ ?

Or:  $k$  choices from set of  $n$  possibilities with replacement.

**Sample with replacement where order just doesn't matter.**

How many ways can Alice, Bob, and Eve split 5 dollars.

Think of Five dollars as Five stars: ★★★★★.

Alice: 2, Bob: 1, Eve: 2.

Stars and Bars: ★★|★|★★.

Alice: 0, Bob: 1, Eve: 4.

Stars and Bars: |★|★★★★.

Each split  $\implies$  a sequence of stars and bars.

Each sequence of stars and bars  $\implies$  a split.

**Counting Rule: if there is a one-to-one mapping between two sets they have the same size!**

# Stars and Bars.

How many different 5 star and 2 bar diagrams?

7 positions in which to place the 2 bars.

$\binom{7}{2}$  ways to do so and  $\binom{7}{2}$  ways to split 5\$ among 3 people.

Ways to add up  $n$  numbers to sum to  $k$ ? or

“ $k$  from  $n$  with replacement where order doesn't matter.”

In general,  $k$  stars  $n - 1$  bars.

$★★|★|\cdots|★★.$

$n + k - 1$  positions from which to choose  $n - 1$  bar positions.

$$\binom{n+k-1}{n-1}$$



## Simple Inclusion/Exclusion

**Sum Rule:** For disjoint sets  $S$  and  $T$ ,  $|S \cup T| = |S| + |T|$

**Inclusion/Exclusion Rule:** For any  $S$  and  $T$ ,

$$|S \cup T| = |S| + |T| - |S \cap T|.$$

**Example:** How many 10-digit phone numbers have 7 as their first or second digit?

$S$  = phone numbers with 7 as first digit.  $|S| = 10^9$

$T$  = phone numbers with 7 as second digit.  $|T| = 10^9$ .

$S \cap T$  = phone numbers with 7 as first and second digit.  $|S \cap T| = 10^8$ .

Answer:  $|S| + |T| - |S \cap T| = 10^9 + 10^9 - 10^8$ .

# Summary.

**First rule:**  $n_1 \times n_2 \cdots \times n_3$ .

$k$  Samples with replacement from  $n$  items:  $n^k$ .

Sample without replacement:  $\frac{n!}{(n-k)!}$

**Second rule: when order doesn't matter..when possible.**

Sample without replacement and order doesn't matter:  $\binom{n}{k} = \frac{n!}{(n-k)!k!}$ .

" $n$  choose  $k$ "

**One-to-one rule: equal in number if one-to-one correspondence.**

Sample with replacement and order doesn't matter:  $\binom{k+n-1}{n}$ .

**Sum Rule:** For disjoint sets  $S$  and  $T$ ,  $|S \cup T| = |S| + |T|$

**Inclusion/Exclusion Rule: For any  $S$  and  $T$ ,**

$|S \cup T| = |S| + |T| - |S \cap T|$ .